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September 13, 2006

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Re:

U.S. Patent Application Serial No. 10/763,826 entitled

"Combination Of Financial Instruments And Method For Implementing

Investment Strategy"

Attorney Docket No. 018638-0172-01

Dear Sir:

Enclosed for filing in connection with the above-referenced are the following:

- 1. Request And Fee To Delete and/or Add To Original Erroneously Named Or Not Named Inventor(s) In-NonProvisional Application-Declaration (3 pages);
- 2. Statement By Spyros Papadakis In Support Of Correction Of Inventorship (1 page);
- 3. Combined Delcaration and Power of Attorney (2 pages);
- 4. Assent Of Assignee To Correction And/Or Addition Of Inventor(s) (2 pages);
- 5. Statement 3.73 (1 page);
- 6. Recordation Form Cover Sheet Patents Only (1 page);
- 7. A true and correct copy of an Assignment on behalf of Spyros N. Papadakis and Nicholas R. Brown (3 pages); and
- 8. Self-addressed stamped, return postcard.

## EXPRESS MAIL CERTIFICATE (37 C.F.R. § 1.10)

Express Mail Label No. EV554303309US

Date of Deposit September 13, 2006

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted or enclosed, are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Name Alison B. Weisberg

Signature



Commissioner for Patents September 13, 2006 Page 2

Kindly acknowledge receipt of these documents by returning the enclosed self-addressed stamped postcard to the undersigned.

The Commissioner is hereby authorized to charge any fees required in connection with this filing or credit any overpayment to Deposit Account No. 50-0310. A duplicate of this authorization is enclosed.

Very truly wours

Alison B. Weisberg

Enclosures